

Publicly Traded Securities (Stocks)

If the stock is held in a donor's account at a brokerage, the donor should:

1. Advise their broker of the intent to transfer stock to NSU
2. Notify a Development Director or the Assistant Vice President for Advancement and Engagement of their intent to make the transfer
3. The Development Director should alert the Office of Advancement Services of the expected transfer, including the number of shares and name of corporation (e.g. 300 shares, Exxon-Mobil)
4. Mail, fax or email a letter of direction to the donor's broker indicating that the stock be sent DTC Delivery to Northern Trust Bank, DTC #0226, F/B/O National Financial Services, A/C# NT2-093742 in the name of Nova Southeastern University
5. Questions should be directed to Ricky Peterson, Financial Adviser, Northern Trust Bank, Tel. 954-768-4051 (rcp5@ntrs.com) through University Advancement

For questions regarding how to make a wire transfer please contact the Director of Donor Relations and Stewardship at eo152@nova.edu or 954-262-2111.

